



1156.38589X00 NC 25096 US PH/8754/US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

**Andy PHELPS** 

Serial No.:

09/576,039

Filed:

May 23, 2000

For:

**AUDIO APPARATUS** 

Group:

2644

Examiner:

Con P. Tran

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 AND §1.98

Commissioner for Patents P.O. Box 145 Alexandria, VA 22313-1450

February 23, 2005

Sir:

In the matter of the above-identified application, Applicant is submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form PTO/SB/08A form for the Examiner's consideration.

This Information Disclosure Statement is being submitted before a notice of allowance and is accompanied by the following certification specified in 37 C.F.R. §1.97(e).

On information and belief, I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Each of the documents listed on the attached PTO/SB/09A form is in the English language, except for JP 03 252233 and JP 08 167924.

To the extent the documents listed on the attached PTO/SB/08A form are not in the English language, the requirement of 37 C.F.R. §1.98(a)(3) for a concise explanation of the relevance is satisfied by an English language version or translation of the foreign patent office report citing the documents.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (1156.38589X00) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLA, TERRY, STOUT & KRAUS, LLP

Donald E. Stout

Registration No. 26,422

(703) 312-6600

**Attachments** 

DES:dlh

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 09/576,039 INFORMATION DISCLOSURE Filing Date May 23, 2000 STATEMENT BY APPLICANT First Named Inventor FEB 23 Andy PHELPS Art Unit 2644 **Examiner Name** Con P. Tran (use as many sheets as necessary) Sheet of Attorney Docket Number 1156.38589X00

			U.S. PATENT	DOCUMENTS	
Examiner Initials'	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,539,705	07-23-1996	Akerman et al	
		US- 5,307,302	4-26-1994	Nakano	
		US-			
		US-			
	<u> </u>	US-			
		US-			
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		FOREIG	N PATENT DO	CUMENTS		
Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		EP 0 273 425 B1	07-06-1988	Sony Corp.		$\vdash$
		JP 03 252233	02-10-1992	Kemikaraijingu Kenkyusho		
		JP 08 167924	06-25-1996	Kyocera Corp.		
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Examiner	Date	
	Date	
Signature	Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.